



APPLICATION TO OPEN A BUSINESS ACCOUNT

BANK OF CEYLON (UK) LTD

**BANK OF CEYLON (UK) LIMITED IS AUTHORISED BY THE PRUDENTIAL REGULATION AUTHORITY AND REGULATED BY
THE FINANCIAL CONDUCT AUTHORITY AND THE PRUDENTIAL REGULATION AUTHORITY (FRN NO. 514744)
REGISTERED IN ENGLAND AND WALES (COMPANY REGISTRATION NO. 06736473)
REGISTERED ADDRESS AND HEAD OFFICE: 1 DEVONSHIRE SQUARE, LONDON EC2M 4WD**

Before completing this application form please read:

- General Banking Terms and Conditions for Business Accounts.
- Key Features Summary Box.
- Complaints Procedure.
- Financial Services Compensation Scheme Information

Please read these documents carefully as they contain all the information you need to be aware of before opening your account(s). If there is anything that you do not understand, please ask for further information.

Please mark this box with a cross "X" to confirm that you have received all of the above documents which are relevant to your application.

THE PROOF OF IDENTITY YOU NEED TO OPEN AN ACCOUNT WITH US (NEW CUSTOMERS)

To protect customers from the risks of fraud and prevent money laundering, we check the identity and address of the business and its Directors and Ultimate beneficial owners.

- ✓ Original of the Memorandum and Articles of Association
- ✓ Original Certificate of Incorporation
- ✓ Business Plan (New Companies)
- ✓ Copy of the latest financial accounts (audited where possible).
- ✓ A copy of the Board resolution on letter headed paper appointing us as bankers and listing the authorized signatories to the account. The resolution should also list the Board members who were present and absent and should be signed by the Chairman and Company Secretary.
- ✓ Original bank statement or Utility Bill evidencing the trading/business address of the company.
- ✓ Proof of ID and Address for Directors, Shareholders and Bank account signatories.
- ✓ Where another company forms part of the shareholding structure of the company please provide details of the identity of the physical persons who are the ultimate beneficial owner(s) of that company.
- ✓ Proof of Tax residency for all signatory.
- ✓ Proof of Tax residency for the company.
- ✓ Business Profile/Corporate Profile

If you already have a business account with another bank or building society, we may need to see your statements for the past 6 months. If you have these available, it may help you to open your new account more quickly.

What happens when we accept your application?

If we agree your application we will open your account and send you the following (separately):

- ✓ a cheque book (if you have requested one)
- ✓ an account welcome pack

Please complete this form in **BLOCK CAPITALS** and in black ink, mark the box with a cross where applicable.

ABOUT YOUR BUSINESS

Full Legal Name:

Type of Business: Limited Company Limited Liability Company Public Limited Company
Partnership Sole Trader Other, please specify

Registration Number: Date of latest audited Accounts:

Full legal (registered) address

Post Code

PLEASE PROVIDE BUSINESS (OPERATING ADDRESS, IF IT IS DIFFERENT FROM THE ABOVE LEGAL (REGISTERED ADDRESS))

Tel (Business) Mobile No of Employees

Email Address Website

Key Personal:

Contact Name

Telephone

Anticipated annual Turnover What was the turnover in the previous year?

Name and address of your Accountants/Auditors:

Nature of business

Are you a startup Company? Yes No Proposed Initial Deposit with Bank of Ceylon: £

Date of Incorporation: Place of Incorporation:

Tax Residency? Yes No US Tax Residency? Yes No

(Please provide the proof)

Tax Identification Number (TIN):

Account is operated by one or more reportable person? Yes No

Do you have any existing business account in UK? Yes No If yes, please provide your Bank Details below:

Bank Name: Sort Code A/C No:

Bank Address:

Provide details of any business accounts overseas

[Empty text box for overseas business accounts]

Details of funds to be received to the account

[Empty text box for details of funds received]

DETAILS OF SUPPLIERS AND CLIENTS (Please provide Name & Address)

Major Suppliers

[Four empty text boxes for Major Suppliers]

Major Clients

[Four empty text boxes for Major Clients]

Types of Products/Services

[Empty text box for Types of Products/Services]

[Empty text box]

Services Required:

Corporate Current Account Deposit
Cheque Book
GBP EUR
Foreign Exchange
Telephone & Fax Instruction

Proposed Activity Across the Account:

Estimated annual turnover through the a/c: £ _____
Estimated number of transactions per month: £ _____
Estimated maximum amount per transaction: £ _____
Estimated value of cash deposits per year: £ _____
Estimated value of cash withdrawals per year: £ _____

DOES YOUR INSTITUTION PROVIDE FUNDS TRANSFER SERVICES TO YOUR CUSTOMERS (SUCH AS CROSS-BORDER FUND REMITTANCE)?

Yes

No

DETAILS OF DIRECTORS

FULL NAME	TITLE (POSITION)	DATE OF BIRTH	COUNTRY OF DOMICILE	OTHER POSITION (IF ANY)

DETAILS OF SHAREHOLDERS

WHO IS THE ULTIMATE BENEFICIAL OWNER (UBO) OF YOUR COMPANY?

NAME	% PERCENTAGE OF OWNERSHIP	TITLE (POSITION)	DATE OF BIRTH	COUNTRY OF DOMICILE

ARE THERE ANY POLITICALLY EXPOSED PERSONS (PEP) IN THE UBOS or SENIOR MANAGEMENT?

YES NO

IF YES, PLEASE PROVIDE INFORMATION OF ALL PEPS ACTING AS UBO AND SENIOR MANAGEMENT.

01. NAME _____

02. NAME _____

03. NAME _____

*IF THERE ARE ANY POLITICALLY EXPOSED PERSONS PEP(S), PLEASE PROVIDE INFORMATION ON THE SOURCE OF WEALTH AS WELL AS SOURCE OF FUNDS OF SUCH PEP(S)(*Foreign PEP is an individual who is or has been entrusted with prominent public functions by a foreign country.)

- PLEASE PROVIDE INFORMATION SEPARATELY.

IN THE PAST TWO YEARS, HAS YOUR INSTITUTION RECEIVED ANY CIVIL OR CRIMINAL PENALTIES?

YES NO

IF "YES", PLEASE PROVIDE BELOW INFORMATION.

DATE /...../..... ENFORCEMENT AGENCIES AND OTHER REGULATORS INVOLVED

DETAILS OF OFFICIALS

NAME	RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH
1.			
2.			
3.			
4.			
5.			
6.			

BANK ACCOUNT SIGNATORIES

NAME	RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH
1.			
2.			
3.			
4.			
5.			

PURPOSE OF BUSINESS CURRENT ACCOUNT

Main trading Account Secondary (Main business account with another provider)

Do you currently have a bank account(s) with BOC(UK) Ltd? Yes No (if yes please specify)

Account No Sort Code

MAIN CONTACT PERSON

FULL NAME

MOBILE NUMBER EMAIL ADDRESS

KEEPING YOU INFORMED

We are here to help and want to ensure that you are always kept informed of additional services and benefits for your accounts and promotional offers that may be suitable to you. Please tell us how you wish to be informed by selecting your preferences below. If you do not wish to be kept informed of additional services, benefits and promotional offers please leave below boxes blank.

Email Mobile Messaging (Text) Letter Phone

THE FINANCIAL SERVICES COMPENSATION SCHEME (FSCS)

We are covered by the Financial Services Compensation Scheme (FSCS). The FSCS can pay compensation to depositors if a bank is unable to meet its financial obligations. Most depositors – including most individuals and small businesses – are covered by the scheme. Small businesses must meet specific criteria to be eligible under the scheme and it is a regulatory requirement that all banks have to maintain records to help determine the eligibility of their customers. To help us determine the eligibility status of your company under these regulations we need some additional information about your business. We may also need to contact you from time to time to make sure that this information is up to date.

CUSTOMER DECLARATION

We confirm that the details given are true and complete. I confirm that I am entitled to disclose information to you about any joint applicant or person named on this application form and that I have their consent to act on their behalf. Where there are two or more people named on this application form, this declaration applies to each person separately.

Before you can open the account, you will check my details with fraud prevention agencies, and may make searches at credit reference agencies who will supply you with information, including information from the electoral register, for the purposes of verifying my identity. If I give you false or inaccurate information and fraud is identified, at application or any stage, details will be sent to fraud prevention agencies. Law enforcement agencies may access and use this information.

We understand that when you assess this application, and any future increase in my credit or overdraft limit, you will use the information for credit assessment, which may include credit scoring. You may make any enquiries relating to me and my business that you consider necessary (for example, from another financial institution) and search the files of credit reference agencies at my business and home address, who will keep a record of each search. This could affect my ability to get credit elsewhere within a short period of time. Details about this application (whether or not it goes ahead) will be recorded at the credit reference agency.

You will also pass details about me, my business and how I run my account (if my application is successful) to credit reference agencies. I understand that this will include if I fail to make agreed payments into my account and that this information may affect my ability to get credit.

We confirm that if my application is successful I will be subject to the Bank's general banking terms and conditions for business accounts. I confirm that this application is being made on my behalf and not as a nominee, trustee or in a fiduciary capacity for any other person.

We also note that my telephone conversations with the Bank will be recorded for training and monitoring purposes. In the interests of security, the Bank may use CCTV recording equipment in and around our premises.

We understand that the Bank is a Data Controller within the meaning of the Data Protection Act 1998 and takes issues concerning data protection and confidentiality very seriously. In applying to open an account I agree to the following:

- Information supplied on this form and which you otherwise obtain, may be held by you on paper, computer and/or in other electronic forms. Information may be kept after my account(s) are closed in order to comply with legal or business record requirements.

- Information held may be used for managing my account(s), for preventing or tackling fraud or any other illegal activity. It may also be used for the Bank’s confidential research and analysis and for marketing purposes.
- We understand that you may provide my data to the UK Regulatory Authorities but only for their use in monitoring your compliance with the regulations. You will not disclose information to anyone else (other than your agents or third parties performing any of the above activities on your behalf) unless required to do so as stated in Clause 4 of the terms and conditions.

We confirm that I have received a copy of the General banking terms and conditions for business accounts and Key Features Summary Box. I understand that for my own benefit, I should read these carefully before signing this form.

(1) Full Name

Signature

Date

(2) Full Name

Signature

Date

(3) Full Name

Signature

Date

(4) Full Name

Signature

Date